Sonalleve MR-HIFU

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1 What are the clinical indications for Philips Sonalleve MR-HIFU system?

Sonalleve is indicated for ablation of uterine tissue as a treatment for symptomatic uterine leiomyomas in pre- or peri-menopausal women who desire a uterine sparing treatment. It is also indicated for ablation of adenomyosis tissue and pain palliation for bone metastases.

2 How does the Sonalleve MR-HIFU therapy for uterine fibroid work?

Magnetic Resonance Imaging guided High Intensity Focused Ultrasound (MR-HIFU) is a non-invasive therapy technique. Focused ultrasound waves are used to heat and coagulate tissue deep inside the body without damaging surrounding tissue. During HIFU treatment, the ultrasound energy beam penetrates through the skin and soft tissue, causing localized high temperatures in the focus area, leaving the skin and intermediate tissue unharmed. The targeted area for ablation is referred to as a treatment cell. Large fibroid volumes are ablated by placing multiple treatment cells in the desired target area, and targeting them one by one.

MR-HIFU combines HIFU therapy with magnetic resonance (MR) guidance, enabling accurate treatment targeting and simultaneous temperature monitoring. With MR guidance, 3D anatomical images provide the reference data for treatment planning, while real-time temperature monitoring images are used to follow the ablation process.

Through real-time feedback from the temperature monitoring images, it is possible to apply a controlled thermal dose to each targeted treatment cell. A typical targeted ablation volume or treatment cell is heated uniformly to 60 - 65 degrees C to insure tissue necrosis. With the application of temperature monitoring and real-time feedback algorithms, the system will adjust the duration of heating to assure that areas with slower heating rates (due to perfusion or tissue heterogeneity in the beam path) receive a longer duration, whereas tissues that heats more rapidly will receive less.

3 Which fibroids can be treated, which ones not?

Nearly all types of fibroids can be treated provided they are accessible to the HIFU beam without intervening bowel. Subserosal, intramural, and submucosal fibroids can be treated routinely. Subserosal fibroids can be treated up to a margin of 1.5 cm from serosal surface. If the patient does not desire for future pregnancies, submucosal fibroids can be treated up to the endometrial surface. Pedunculated fibroids with thin stalk sizes should be avoided.

As MR is used to plan the treatments, MR characteristics have been examined to determine which fibroids respond better to HIFU treatment. Lénárd et. al. showed that fibroids with low signal intensity on pre-treatment T2-weighted images (dark fibroids) were more likely to shrink than ones with high signal intensity (bright fibroids).

In 2007, Funaki and colleagues coined a typing system whereby the signal intensity of the fibroid on T2-weighted imaging was roughly correlated to the responsiveness of fibroids to MR-HIFU therapy. Funaki typing of fibroids is based on the average intensity measured over the fibroid as compared to the average intensity of the skeletal tissue and the myometrium (Funaki 2007).

Following their initial report, Funaki et al showed a correlation between the reintervention rate and the fibroid type. Fibroids that appear to be hyperintense on T2-weighted imaging (Type 3) tend to be highly perfused fibroids, which can be difficult to ablate due to the rapid cooling of the more perfused tissues (Funaki 2009). Unfortunately, the typing system is not full-proof in that fibroids are heterogeneous and cannot be categorized exclusively by the average signal intensity described in Funaki's classification system.

Recently, Kim and colleagues applied dynamic contrast material-enhanced (DCE) MR imaging to refine their understanding of the relationship between perfusion of the fibroid and treatment outcomes. Their results showed a significant negative correlation between the K^{trans} value taken at baseline and the resulting nonperfused volume achieved post-treatment (Kim 2012).

Due to fibroid tissue heterogeneity and patient-specific physiological differences in the near field, it is expected that methods for determining fibroid type prior to MR-HIFU therapy will require consideration of additional factors like DCE-MR. Thus, a combination of anatomical findings and MR image characteristics should be used to determine whether MR-HIFU is the best treatment option for given patient.

There are sometimes practical aspects that could limit an MR-HIFU therapy. Depending on the patient characteristics, deeper fibroids may be difficult to treat with MR-HIFU. The geometrical focus of the transducer is 12 cm and 14 cm from the transducer surface in the earlier hardware release and V2 systems, respectively. For the two systems, the distance from the skin to the center of the fibroid should be approximately 8 cm (earlier hardware release) and 10 cm (V2) to facilitate the treatment. If a patient has a very thick subcutaneous fat layer or a very posterior fibroid, reaching the full fibroid volume for treatment could require advanced patient positioning techniques (Zaher 2009).

Also, large scars from former pelvic operations should be handled with care if they are in the ultrasound beam path. Ultrasound energy should not be passed through fresh and/or extensive scars, as it could lead to local tissue heating. These structures may be avoided through patient positioning to alter the beam path, using the beam shaping feature in the MR-HIFU software, or placing a protective covering over the scar tissue (Yoon 2011). Finally, all contraindications (e.g. pacemakers) for an MRI examination also apply in MR-HIFU therapy.

4 What percentage of a fibroid should be treated, how close to the border should it be treated?

Numerous MR-HIFU studies have investigated the correlation between the percentage of treated fibroid volume and outcomes. The percentage of treated fibroid volume is measured using contrast-enhanced imaging immediately post-treatment and defined as the non-perfused volume (NPV) as a percentage of the total fibroid volume (%NPV, or simply "NPV"). For the best outcomes, as much of the fibroid as possible should be ablated (LeBlang 2010, Fennessy 2007). A minimum NPV of 60% produced significantly more sustained symptom relief over 24 month study length (Stewart 2007, Fennessy 2007).

Initial MR-HIFU studies limited the allowed fibroid treatment volume to 33%, as regulatory bodies had concerns for patient safety with a new technology (Hindley 2004). Since those early studies, the US FDA raised the limit in 2007 to 50% (Stewart 2007, Fenessey 2007) and in 2009, they removed the limit all together (LeBlang 2010). Currently, there is no limit on the percentage of the fibroid that can be treated with MR-HIFU.

Studies are underway to evaluate the potential for additional improvements in symptom relief and durability in lieu of the expanded treatment guidelines. Physicians currently aim to relieve the patient's most acute symptoms, which may mean targeting a single fibroid over others and/or compromising the total treatment volume. Since the outcomes data from Gorny et al. showed the correlation of treatment volume to symptom relief at 12-months follow-up, the current recommendation is to plan a treatment volume of at least 50-60% of the total fibroid volume.

5 How is patient preparation done, what is the required care after the procedure, and how is the follow-up done?

Prior to treatment day, the patient is asked to depilate/shave the pelvic skin area. This should be done one to three days prior to treatment. No creams are allowed on the patient skin after depilation/shaving. In addition, patients are requested to fast for 12 hours prior to treatment.

On treatment day, an intravenous catheter is inserted to deliver medication such as mild sedation and MR contrast media (post treatment). In addition, a Foley catheter is introduced to control the bladder volume. Patient body temperature is measured. In the MR suite, the patient is asked to lie face down on the HIFU patient table. A semi-flexible RF coil is positioned around the patient's body. The patient is given a stop button and instructed on its usage. In some cases, filling the bladder and/or rectum is needed in order to manipulate the anatomical location of the uterus.

After treatment, the patient is moved to a recovery area and vital signs are monitored for few hours to assess the effects of treatment and sedation. If no adverse side-effects are noted, the patient is typically sent home the same day with pain medication prescription and instructions to use as needed. The patient is monitored via follow-up calls or visits at 6, and 12 months either by the gynecologist, nurse or the treating radiologist. At 6 and 12 months the patient should be followed up by the interventional radiologist who performed the procedure. These patient procedures are well-documented in numerous studies (Hindley 2004, Stewart 2007, Taran 2009).

6 Do patients feel pain during the procedure?

Although mostly mild, discomfort and some pain are the most common side effects of the MR-HIFU procedure. Positional pain arising from sustained prone positioning on the MR table during therapy is the most commonly reported discomfort. Pain may also arise from the HIFU beam during treatment, especially when targeting cells near the capsule of the fibroid. Fibroid tissue itself is not innervated. Some patients report stimulation of sciatic and other nerves due to interaction of the ultrasound energy and nerve tissue. Monitoring during the therapy and consistent communication with the patient are critical to assure that no permanent damage is incurred.

The coagulation of myoma tissue with MR-HIFU-controlled heating is immediate. Initially, there was some concern that in the week(s) following MR-HIFU treatment patients may experience pain comparable to the pains associated with Uterine Artery Embolization syndrome. Fortunately, this is not the case for MR-HIFU and has only been reported in a very limited number of cases where feeding vessels of the fibroid have been targeted.

7 How does necrosis develop within the fibroid during treatment? How does necrosis develop after treatment? Is it reabsorbed, how, and over what period of time?

As with most thermal ablation techniques, HIFU ablation is a coagulative necrosis process. The coagulation process has been confirmed with histology studies in treat-and-resect patients after hysterectomy (Tempany 2003, Venkatesan 2012). Coagulation occurs within the timeframe of the HIFU treatment and can be clearly observed immediately post-treatment on MR imaging (Hindley 2004, McDonnald 2006). In addition, local edema around the ablation zones can be observed. The edema can cause pressure rises within the fibroid thereby increasing the eventual necrosis volume of fibroid (Hindley 2004, McDonnald 2006). In addition, if larger feeder vessels are ablated, the eventual necrosis zone may be extended to those infracted areas feed by the vessel (de Melo 2009). The edema subsides over days to weeks, leaving a non-vascular necrosed zone, followed by overall shrinkage of the fibroid and symptom relief (Stewart 2007, Fenessey 2007, Rabinovici 2007, Lénárd 2008). Typical of tissue injury response, the necrotic zone passes through an inflammation response in the short term, then a phase when cellular debris is re-absorbed, and finally a fibrosis phase.

8 How to manage the risk that the targeted fibroid is in fact a uterine sarcoma?

The prevalence of malignant transformation of a leomyoma is very rare at less than 0.5% (Baggish 1974, Seki 1992). In postmenopausal women, the increase in size of the fibroid is a reliable sign of malignant transformation, whereas in pre- or peri-menopausal women rapid growth is not directly correlated to an increased risk of malignancy (Parker 1994). Abnormal leiomyoma identified on MRI findings should be further analyzed and as with myomectomy and UAE, the presence of malignancies should be excluded before MR-HIFU treatment. Since MRI is required as a part of patient screening for MR-HIFU, sarcoma prior to uterine fibroid treatment is more likely to be found than in the cases of myomectomy as these patients are often treated based solely on ultrasound findings. In the extremely rare case that the diagnosis of sarcoma is missed, the subsequent risks are thought to be similar to risks in myomectomy or UAE treated patients.

9 To what extent do patient symptoms improve after treatment, especially bleeding?

Below is a summary of the larger MR-HIFU studies. In the majority of these studies, the Uterine Fibroids Symptoms – Quality of Life (UFS-QOL) questionnaire was used as the outcome measure. This validated questionnaire was also used extensively in UAE studies and provides a measure to quantify all fibroid symptoms including bleeding, bulk effects, and urinary symptoms. The measure for symptom qualification

is known as the Symptom Severity Score (SSS). In addition to the SSS, the UFS-QOL provides scores in 6 other areas including Concern, Activities, Energy/mood, Control, Self-consciousness, and Sexual Function. When larger treatment volumes were allowed by regulatory bodies, outcomes as measured by symptom severity scores improved. The table below shows the progressive improvement in outcomes following the expanded treatment guidelines.

Author	Year	Study Size	Results
Hindley et. al.	2004	n = 109 MR-HIFU n = 83 hysterectomy	 Achieved a 10-point or greater reduction in SSS at 6 month / 12 month follow-up: HIFU: 70.6% / 38.5% Hysterectomy: Not reported / Not reported 21% of those treated by HIFU needed additional surgical treatment, and 4% underwent a repeat HIFU by 12 months Serious adverse events HIFU: 9 patients (8%), total of 10 SAEs Hysterectomy: 22%: 8 patients (10%), total of 10 SAEs
Stewart et. al.	2007	n = 359	 Symptom improvement was significantly better when a higher volume percentage of the uterine fibroids were treated with HIFU Sustained symptom relief was shown throughout the study period of 24 months
Fennessy et. al.	2007	n = 96 standard protocol n = 64 modified protocol	 Significant decrease in SSS (>10 points) at 12 months: Standard protocol: 73% Modified protocol: 91% Adverse effects were less common for patients undergoing the modified protocol, although the difference was not statistically significant (P=0.06) Chose alternative treatment: Standard protocol: 37% Modified protocol: 28%
Taran et al.	2009	n = 109 MR-HIFU n = 83 hysterectomy	 Number of adverse events was lower in the MR-HIFU group Recovery was faster in the MR-HIFU group Patients in the MR-HIFU group had steady improvement in all parameters throughout the 6-month follow-up period

10 What are the known (most common) complications of the procedure?

In general, MR-HIFU is regarded to be a safe method for the treatment of uterine fibroids. Discomfort and pain are the most common side effects of MR-HIFU treatment of uterine fibroids and are considered minor. The number of serious adverse effects (SAEs) is low and has been shown to decrease with the experience of the operator (Stewart 2007, Okada 2009, Fennessy 2007). As more and more studies have been performed with MR-HIFU, mitigations have been implemented to reduce side effects and avoid SAEs overall.

Side-effects of uterine therapy include not only the possible effects during therapy, but also the recovery time from the intervention. MR-HIFU recovery time is short in comparison to other uterine fibroid therapies. In contrast to hysterectomy where a typical full recovery time is 6-8 weeks, the majority of patients treated with MR-HIFU return to normal activity and/ or work in 1-2 days post-treatment. Treatment-related fatigue and backache are possible, yet they typically require only over-the-counter pain medication (Hesley 2006). Procedures can routinely take up to 3 hours, and in some cases the entire procedure has been reported to last up to about 5 hours (Tempany 2003). Long treatment times increase the likelihood of discomfort and subsequent pain. Less common minor complications include diarrhea in three of the 42 patients in Hesley and colleagues 2006 study and nausea, both presumed to be related to post-treatment opioids (Hesley 2006).

The most serious, but uncommon complications include sustained leg and buttock pain and skin burns. Hindley et al. (2004) reported the first sustained leg and buttock pain were this event was linked to the heating of the sciatic nerve. Despite initial concern and patient discomfort, according to MR neurography and electromyography there was no intrinsic nerve damage caused. This case lead to a change in operator practices. In all reported cases, these symptoms have resolved.

Although infrequent, skin burns have occurred through the course of the use of MR-HIFU. Mild skin burns have been report by several groups (Stewart 2003, Stewart 2006, Rabinovici 2007, Mikami 2008, Morita 2008, Zhang 2008, Okada 2009, Taran 2009). Most of the cases were mild first degree burns that were often caused by imperfect hair removal or air bubbles trapped between the gel pad and skin of the patient.

The majority of the reported burns occurred in the early phases of the technology. Globally, over 9000 women have now been treated using MRI-guided HIFU. In addition, with the application of Philips Sonalleve multi-plane MR temperature monitoring and careful monitoring of the near-field temperature images during therapy, these types of burns can be avoided.

11 Does the HIFU procedure preserve fertility?

To understand the relationship between HIFU and fertility, it is first important to look at the literature on the effect of uterine fibroids and fertility. In some cases, fibroids are known to negatively impact a patient's ability to conceive (Stovall 1998), as well as increase her chances of obstetric complications if she is able to conceive (Klatsky 2008). Fibroids may be the cause of infertility and it is thought that the location of the fibroid within the uterus is the most important factor in terms of the effect on fertility (Casini 2006).

A systematic review of fibroids in infertility was performed in 2001 and again in 2009. In these studies the authors looked at fertility outcomes of patients with fibroids in various locations as compared to patients without fibroids. The authors found that subserosal fibroids had no effect on fertility outcomes, while intramural fibroids appear to negatively impact fertility and lead to increased pregnancy loss and submucosal fibroids impact both implantation and pregnancy rates. Despite these associations, only in the case of submucosal fibroids is there statistically significant evidence for improved pregnancy outcomes with myomectomy. Conclusive data for all types of fibroids on the impact of myomectomy, considered the standard of care for this patient population, is not yet available (Pritts 2009). As myomectomy aims to treat the fibroid impacting fertility, there have been correlations made to a similar approach with MR-HIFU, yet this requires further study.

Currently there is insufficient evidence to make formal recommendations on fertility and MR-HIFU. There have been eight case reports published on pregnancy following MR-HIFU (Hanstede 2007, Gavrilova-Jordan 2007, Morita 2007, Zaher 2010, Yoon 2010, Zaher 2011, Bouwsma 2011, Kim 2011). As these are single cases and while the results are promising, they cannot be translated to proven evidence.

A larger retrospective study was published by Rabinovici et al., who reported data on 54 pregnancies in 51 women after MR-HIFU treatment of uterine leiomyomas. Live births occurred in 41% of pregnancies, with a 28% spontaneous abortion rate, an 11% rate of elective pregnancy termination, and 11 (20%) ongoing pregnancies beyond 20 gestational weeks. The mean birth weight was 3.3 kg, and the vaginal delivery rate was 64%. The authors of this study conclude that preliminary pregnancy experience after MR-HIFU is encouraging, with a high rate of delivered and ongoing pregnancies.

12 Is MR-HIFU cost-effective relative to hysterectomy?

Two studies have examined the cost-benefit of MR-HIFU relative to the other uterine fibroids therapies: hysterectomy, UAE, myomectomy, and medication. O'Sullivan et. al. reported that MR-HIFU is in the range of currently accepted criteria for cost-effectiveness based on QALYs (Quality Adjusted Life Year) and cost per QALYs, along with hysterectomy and UAE (2009). The QALY is measure of disease burden and factors in both the quality and length of life. Based in the United Kingdom, Zowall et. al., in their analysis, also concluded that a treatment strategy for symptomatic uterine fibroids starting with MR-HIFU is likely to be costeffective. This analysis was performed in comparison to the other treatment options, including hysterectomy. While direct costs may be a key concern, the overall burden of a recurrent and chronic disease like uterine fibroids must be considered. This is a particular concern where hysterectomy is not considered a suitable treatment option for the patient. In a 2011 study, the authors analyzed the overall societal burden of uterine fibroids in the United States market, taking into account all of the treatment options as well as the number of patients who are unlikely to seek treatment due to its side effects(Cardozo 2011). The study showed that obstetrics' complications where a significant contributor to the cost burden of the disease. The authors also concluded that costs associated with lost-work hours may account for the largest portion of the societal burden of uterine fibroids.

13 What is the rate of recurrence in fibroids treated with MRI guided HIFU?

The rate of recurrence in fibroids treated with MRI guided HIFU has been assessed up to 24-months post-therapy and is less than or comparable to other uterus preserving fibroid treatment procedures for results observed in up to 24 months. The recurrence rate has been reported to be 7.4% at 12 months (Gorny 2011) and at 14% for type I/2 fibroids at 24 months, while 21.7% for type 3 fibroids (Funaki 2009). 5-year follow-up data is still being captured. 5-year cumulative recurrence rate has been reported to be 32% for uterine artery embolization and 5.7-33% with myomectomy.

14 After this technique will the efficiency of ovarian hormones decrease?

When performing HIFU, ovaries should be avoided in the ultrasound beam path to safeguard the ovaries. In addition, any temperature change is also monitored in real time during the procedure.

15 What is fibroid shrinkage pattern after treatment with MRI guided HIFU?

Fibroid continues to shrink for one year after ablation. However, most of the shrinkage happens within first six months. The percentage of fibroid shrinkage from baseline is highly dependent on the level of ablation achieved during the treatment, as shown in the below figure from LeBlang and colleagues (LeBlang 2010).

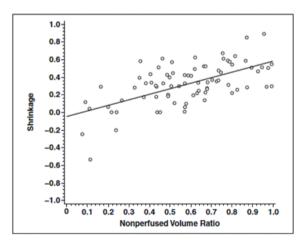


Figure 1: Graph shows relation between non-perfused volume ratio immediately after MRI-guided focused ultrasound treatment and leiomyoma shrinkage 6 months after treatment. Shrinkage is calculated as percentage change in volume of treated leiomyomas from treatment. Line represents results of regression analysis (p<0.0001) (LeBlang 2010)

16 Will there be infection caused as a result of HIFU as the dead tissue is left within the body.

Endometrium is not breached during the treatment and the necrosed area remains in the intact fibroid sac and thus separate from the external environment. Although fever has been reported in 5-6% of cases, infection has not been reported in the necrosed areas.

17 What is volumetric ablation and what are its advantages?

At the outset of the technology's introduction in 2004, MRI-guided HIFU systems used point by point ablation method which allowed ultrasound to be focused on a small area where the heated volume was equivalent to the size of a grain of rice. Large volume heating and ablation was done in series and required longer time.

Introduced in 2009, the volumetric ablation technique of Philips Sonalleve allows larger volume heating by moving the point of the ultrasound beam focus in concentric circles that range from 4-16 mm in diameter (Kohler 2009). In 2011 Kim and colleagues were able to correlate increased efficiency of the treatment with increased treatment cell size (Kim 2011). As an extension of this approach, the authors have explored techniques for treatment cell placement to allow for effective MR-HIFU therapy of large volumes which has led to a further enhancement of treatment speed and efficiency. In a recent report, the group from Samsung Medical Center reported treatment speed of 110.6 ml/h ± 36.1 with Sonalleve and the application of a onelayer treatment strategy, as compared to previous reported average treatment speed range of 19.8-42.4 ml/h with point by point ablation (Kim 2012).

18 How MRI guided HIFU does in comparison with other fibroid treatment methods?

Different fibroid treatment methodologies have been compared in the following table.

Hysterectomy (abdominal & laparoscopic)	 Patient has failed prior minimally invasive therapy Patient has completed childbearing and has significant symptoms, multiple tumors, and desires definitive symptom relief Acute hemorrhage (intraoperative conversion during myomectomy) 	• 0-2 days for laparoscopic, 1-5 days for full abdominal hysterectomy (Hehenkamp 2005, Mara 2008)	• Surgical risks and perioperative morbidity. Major, permanent operation with possible adverse events including hot flashes, weight gain, depression, diminished sexual interest and anxiety • (Farquhar 2006, Carlson 1994)	• 2-5 weeks (Taran 2009; Pinto, 2003)	°Z.	• Definitive treatment
Myomectomy (abdominal & laparoscopic)	• Symptomatic intramural and subserosal uterine fibroids • Patients who want to become pregnant and who do not have contraindications for surgery	• 2-5 days (laparoscopic) (Palomba 2007) • 3-5 days (abdominal)	• Surgical risks and perioperative morbidity. Laparoscopic myomectomy complication rates of 9% minor and 2% major complications (Burke 2011). Post-operative adhesion rate of 35.6% (Dubuisson 1998).	 2.9 ± 1.8 weeks (laparoscopic) 3.7 ± 2.9 weeks (abdominal) (Holzer 2006) 	• Yes, although risks shown in pregnancy post-procedure (Dubuisson 1998)	• 3-year recurrence rate of 21.79% (Rossetti 2001) • 5-year cumulative recurrence rate 5.7- 33% (Fauconnier 2000; Burke 2011)
Uterine Artery Embolization	 Women with fibroids that are not subserous, submucosal or pedunculated Minimally invasive, more rapid recovery than surgery Women who wish to preserve their uterus but are not interested in future fertility 	• 1 day	• Possible surgical risks of infection and bleeding.	• 10-14 days (Pinto 2003; FIBROID Registry, 2005)	• Not recommended. 12% risk of placental abnormalities (Laughlin 2011). Risk of ovarian failure, amenorrhea reported in <15% (Parker 2007)	 2-year recurrence rate of 10% (Marret 2003) 5-year cumulative recurrence rate 32% (Moss 2011)
MR-HIFU	 Suitable for most patients with symptomatic (including multiple and medium to large fibroids) Women wishing to preserve their uterus and may/may not be family complete 	• Outpatient procedure, no hospital stay • 1 day	 Limits on types and location of fibroids that can be accessed. Minor risks of abdominal pain (11-12%), transient lower leg or back pain (6-8%), vaginal discharge or bleeding (8%), fever (5-6%) or skin burns (1-7%) (Okada 2009) 	• 1-3 days (Taran 2009)	• Insufficient data to date, Multiple reported cases in addition to 54 pregnancies in 51 women. 64% vaginal delivery, 36% cesarean delivery (Rabinovici 2010)	• 7.4% at 12 months (Gorny 2011). 14% at 24 months for type 1 or 2 fibroids, 21.7% at 24 months for type 3 fibroids (Funaki 2009)
Treatment Factors	Prospective Patient Population	Hospital Stay	Disadvantages or Risks	Return to Normal Activity	Future Fertility	Recurrence Rate*

^{*} Reported studies on UAE and myomectomy provide only 2, 3 or 5-year data, whereas only 12 and 24 month data exists for MR-HIFU at this time.

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HIFU is not approved for use in Canada and the USA for bone or adenomyosis.

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Printed in The Netherlands 4522 962 89571 * OCT 2012